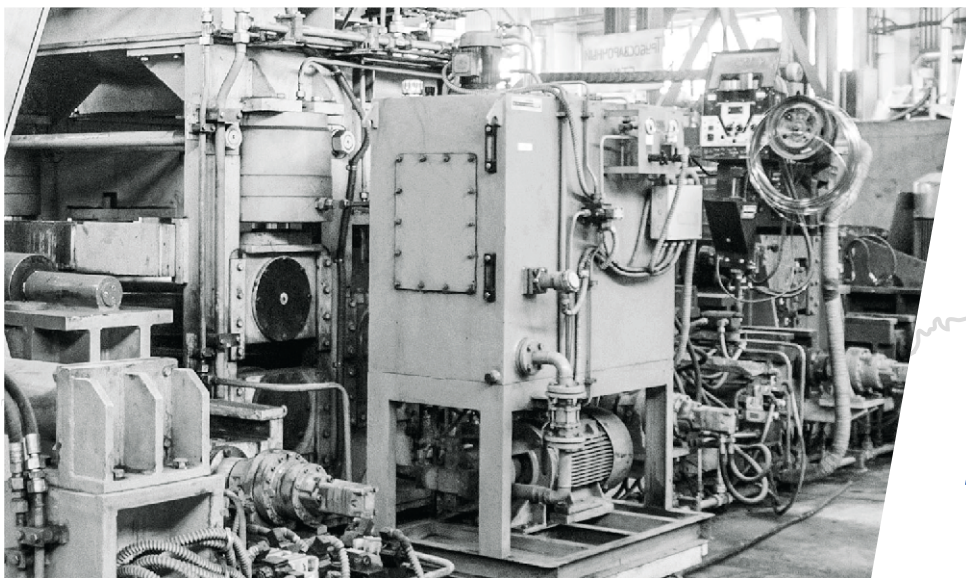




SEZ
AKTAU
SEAPORT

SPECIAL
ECONOMIC ZONE
«SEAPORT AKTAU»

INVESTORS GUIDE



AREA
OF YOUR **SUCCESS**





Our mission

- Attraction, promotion and support of key industries of Mangystau region, provision of high-quality services to participants of the Special Economic Zone «Seaport Aktau» (hereinafter - SEZ);
- Ensuring the maximum level of development of highly efficient manufacturing industries, tourism, transport and logistics potential on the territory of the SEZ.

Our aims

Infrastructure development.

Creating conditions for the placement of investment projects by improving infrastructure.

Ensuring occupancy.

Ensuring the growth of investment and occupancy by increasing the awareness of the SEZ through marketing activities.

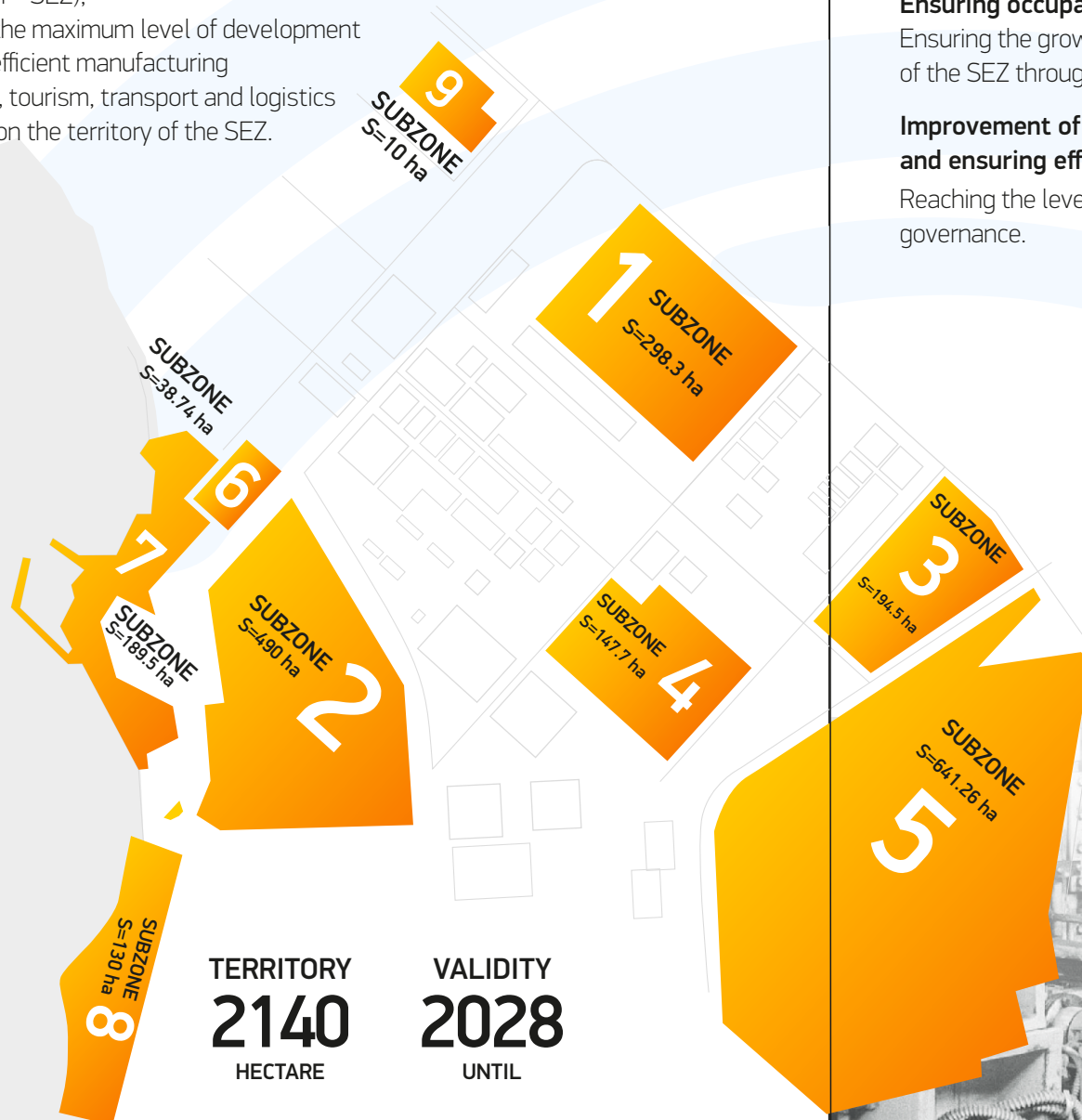
Improvement of management standards (optimization of business processes and ensuring effective interaction with SEZ participants).

Reaching the level of leading companies with a recognized level of corporate governance.

Tax and custom preferences

In accordance with the Tax Code of the Republic of Kazakhstan, SEZ participants are exempt from payment:

1. corporate income tax
2. property tax
3. land tax
4. fees for the right to use land plots
5. the imposition of VAT at a zero rate on goods sold on the territory of the SEZ, fully consumed in the implementation of activities that meet the objectives of the creation of the SEZ, according to the list of goods determined by the Government of the Republic of Kazakhstan.
6. customs payments and fees in accordance with the procedure provided for by the customs legislation of the Republic of Kazakhstan.





Priority activities

- manufacture of household electrical devices;
- manufacture of leather goods;
- manufacture of chemical products;
- manufacture of goods made of rubber and plastic;
- manufacture of non-steel mineral products;
- metallurgy;
- manufacture of ready-made metal goods;
- manufacture of machines and equipment;
- manufacture of petrochemical products, and also goods of associated, adjacent production and technologies;
- stock keeping and supporting transport operations;
- manufacture of basic pharmaceutical products and medications;
- manufacture of electrical engines, generators, transformers, electrical distribution and control devices;
- manufacture of electrical cables and conducting devices;
- construction and start-up of facilities specifically intended for operations according to design and cost estimate documentation;
- construction and commissioning of the multifunctional complex «AKTAU RESORT HOTEL» in accordance with the design and estimate documentation.
- data processing, hosting of applications (application programs) and related activities; web portal activities;
- aquaculture;
- electricity production by thermal power plants;
- transmission of electricity;
- distribution of electricity;
- construction of residential and non-residential buildings;
- provision of services by hotels and similar places of residence;
- rent and management of own or leased real estate;
- activities for the organization of recreation and entertainment;
- construction and commissioning of a multidisciplinary hospital.

Source: Order of the Minister for Investment and Development of the Republic of Kazakhstan dated February 27, 2018 No. 142 «On approval of the list of priority activities in the context of special economic zones corresponding to the goals of creating a special economic zone, as well as the Rules for including priority activities in the list of priority activities in the context of special economic zones corresponding to the goals of creating a special economic zone».

How to become a participant of SEZ and successfully implement a project?

A methodological guide has been provided to your attention, which has been developed for the purpose of full informative and consulting support for potential investors. Here you will find answers to questions about the project from the beginning of registration as a participant of the SEZ to the commissioning of the project.

We hope that provided information here, will help you to pass the necessary procedures, overcoming administrative barriers effectively and in a short time. We are always ready to cooperate and provide full support to the project applicant.

Please note that SEZ participants cannot be:

1. subsoil users;
2. organizations producing excisable goods, except for organizations engaged in the production, assembly (assembling) of excisable goods, provided for by subparagraph 6) of Article 462 of the Code of the Republic of Kazakhstan «On taxes and other obligatory payments to the budget» (the Tax Code);
3. organizations and individual entrepreneurs, applying special tax regimes;
4. organizations, applying (having applied) investment tax preferences - under the contracts, concluded with the authorized investment body until January 1, 2009;
5. organizations, implementing (having implemented) an investment priority project and an investment strategic project in accordance with the legislation of the Republic of Kazakhstan on investments;
6. organizations, operating in the field of gambling business.



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Procedure for implementing the project on the territory of the SEZ «Seaport Aktau»

1. Obtaining the status of a SEZ participant

- Collecting and submitting documents
- Conducting an investment commission
- Conclusion of an agreement on the implementation of activities as a SEZ participant
- Registration as a SEZ participant in the register of participants of special economic zones by a single coordination center
- Issue of a certificate of registration of a person as a SEZ participant
- Registration of the company in the territorial state revenue authority at the place of registration of the SEZ
- Registration of the company with the customs authority at the place of registration of the SEZ
- The conclusion of the Contract on sublease of a land plot
- Registration of a land sublease Agreement with the judicial authorities

2. The design of the object

- Getting technical specifications
- Approval of the draft design
- Getting an architectural and planning task
- Development of the design and estimate documentation and approval of the working project
- Conducting a public hearing (in Accordance with the current Legislation of the Republic of Kazakhstan)
- Getting a positive opinion from an expert organization

3. The construction of the facility

- Determining the contractor for construction
- The signing of the contract with the author and technical supervision
- Obtaining a warrant to conduct land works
- Notification of the start of construction
- Construction period

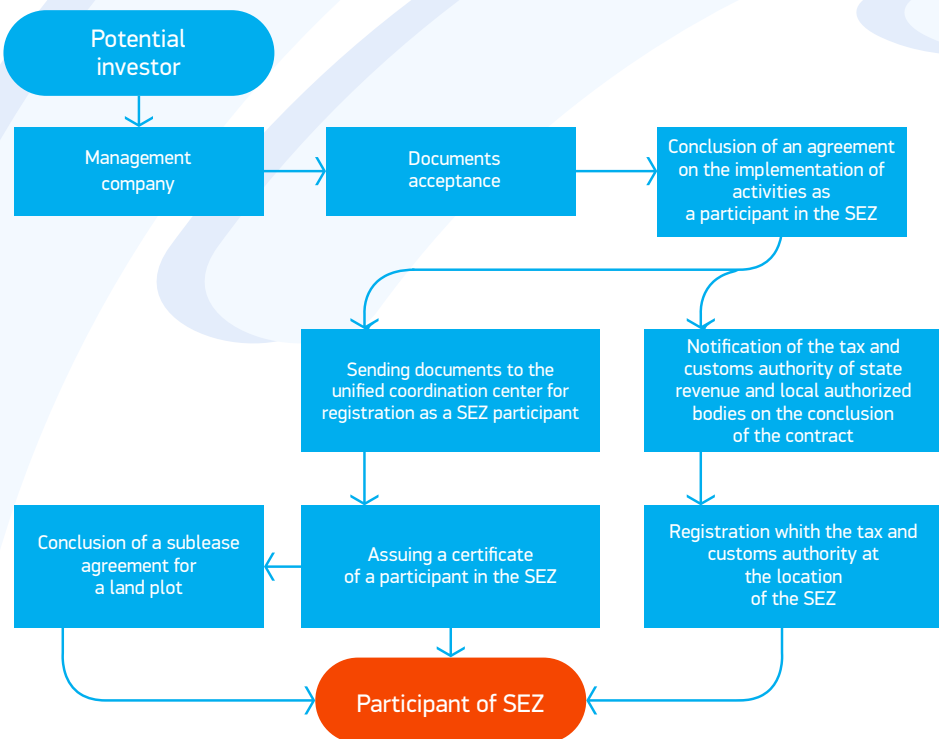
4. Putting the constructed object into operation

- Getting the technical passport of the object
- Getting the certificate of commissioning
- Commissioning of the facility
- Assigning an address to an item
- Registration of the object in the judicial authorities



Procedure for obtaining the status of a participant of the SEZ «Seaport Aktau»

1. Submitting an application to the SEZ management body;
2. Conclusion of an agreement on the implementation of activities as a participant of the SEZ within ten working days, if the project meets all the requirements;
3. Issuance of the certificate of the participant of SEZ.



Documents submitted by the applicant for carrying out activities as a participant of the special economic zone and including it in the unified register of participants of the special economic zone

1. the applicant's questionnaire in the form approved by the authorized body;
2. certificate of state registration (re-registration) of a legal entity;
3. a copy of the identity document of the first head of the applicant;
4. a copy of the charter of the legal entity;
5. a copy of the decision of the supreme body of the legal entity on the implementation of activities on the territory of the special economic zone;
6. a copy of the financial statements as of the last reporting date, signed by the first head of the applicant or the person replacing him, as well as the chief accountant (accountant);
7. feasibility study of the project that meets the requirements established by the authorized body.
When applying for activities as a participant of the special economic zone in the field of information, communication and innovative technologies, the justification of the project is attached;
8. statement of the servicing bank on the movement of money on the applicant's bank accounts and a credit report from the credit bureau;
9. certificate of the state revenue authority at the place of registration on the presence or absence of arrears in taxes and other mandatory payments to the budget.

If the legal entity is not a resident of the Republic of Kazakhstan at the time of submission of documents and is not registered as a taxpayer, a copy of the certificate of absence of state registration as a taxpayer in the state revenue bodies is provided.

Foreign legal entities provide legalized documents specified in sub-paragraphs 2), 4) and 5) in paragraph one of these regulations, a legalized extract from the commercial register or other legalized document certifying that the foreign legal entity is a legal entity under the legislation of a foreign state, with a natural translation into Kazakh and Russian.

Source: Law of the Republic of Kazakhstan dated April 3, 2019 No. 242-VI ZRK «On Special economic and industrial zones».



Registration of a land plot

1. Conclusion of a sublease agreement for a land plot with JSC «SEZ «Seaport Aktau».
2. Registration of the land sublease agreement with the judicial authorities.

Delivery of technical specifications for connection to engineering networks in the territory of SEZ «Seaport Aktau»

1. Sending an application to JSC «SEZ «Seaport Aktau» with an indication of the required amount of energy resources for production*.
2. After the development of the working draft, it is necessary to coordinate the relevant sections with the technical control and infrastructure development department of JSC «SEZ «Seaport Aktau» and/or the body that issued the technical specifications.**
3. When installing metering devices, it is necessary to provide a passport for this device, for the subsequent preparation and signing of the installation certificate indicating the initial readings.

* *The issuance of technical specifications (hereinafter - TS) is carried out:*

- TS for connection to water supply and sewerage networks are issued by JSC «SEZ «Seaport Aktau»;
 - TS for gas supply are issued by the MPF «Kaztransgazaimak» with the assistance of JSC «SEZ «Seaport Aktau»;
 - TS for power supply are issued by the State Enterprise «AUES» with the assistance of JSC «SEZ «Seaport Aktau»;
- The section on gas supply of the working project for subzones No. 1 and subzone No. 3 is coordinated with the MPF «Kaztransgazaimak».

Capacities of engineering systems of subzone No. 1 and subzone No. 3

1. Gas supply

- Subzone No. 1 - 3000 m3/hour
- Subzone No. 3 - 6000 m3/hour

2. Water supply

- Subzone No. 1 - 720 m3/day
- Subzone No. 3 - 720 m3/day

3. Water disposal

- Subzone No. 1 - 720 m3/day
- Subzone No. 3 - 720 m3/day

3. Water disposal

- Subzone No. 1 - 80 MW
- Subzone No. 3 - 50 MW

* Utility tariffs are set according to the tariffs approved in the region.

Procedure for approving the draft design

1. The procedure for approving the draft design is carried out through the portal of "electronic government".

The package of documents consists of:

- Sketch (sketch-project) (required, qualification requirements)
- Application in the form of an electronic document certified by the EDS of the service recipient (formed electronically) (required, qualification requirements) (Information form)

2. Development of a draft project, which consists of:

- View of the building (production facility)
- Situational diagram of the facility
- Photomontage

3. Approval of preliminary design.

4. Submission of documents for obtaining an architectural and planning task.

5. Getting an architectural and planning task.

Note: 1) The procedure for approving the draft design must be started after the completion of the land plot registration;
2) The procedure for obtaining an architectural and planning building must be started after the approval of the draft design.

Source: Order of the Minister of National Economy of the Republic of Kazakhstan dated November 30, 2015 No. 750 «On approval of the Rules for the organization of development and passage of licensing procedures in the field of construction».



The procedure of examination of the project

1. Getting an electronic signature at the public service Center.
2. Creating a personal account on the site www.epsd.kz
3. Download the package of required documents.*
4. Receiving an incoming number within 5 business days after checking the list of documents provided.
5. Obtaining the approval from the following government agencies:
 - The Department of Ecology of Mangistau region;
 - RSE «Gosexpertiza»;

In addition, we remind you that the expert opinion can be obtained from private organizations.

*The list of documents submitted for examination can be found by clicking on the link www.epsd.kz

Source: Order of the Minister of National Economy of the Republic of Kazakhstan dated April 1, 2015 No. 299 "On approval of the Rules for conducting a comprehensive non-departmental examination of feasibility studies and Design Estimates intended for the construction of new, as well as changes (reconstruction, expansion, technical re-equipment, modernization and overhaul) of existing buildings and structures, their complexes, engineering and transport communications, regardless of funding sources."

Procedure for delivery a production facility into operation

1. Acceptance of the object from the contractor (General contractor) is carried out by the customer together with technical and author's supervision.
2. The Contractor shall notify the customer in writing of the facility's readiness for commissioning.
3. The customer requests the following documents:
 - From the contractor - declaration of conformity;
 - From technical supervision - conclusion on the quality of construction and installation works;
 - From author's supervision - a conclusion on the compliance of the completed works with the project.
4. The customer checks the executive technical documentation, inspects the object, in the presence of negative conclusions-the contractor eliminates violations, in the case of a positive conclusion - signs the Act of acceptance of the object into operation with the contractor, author's and technical supervision.
5. The signed documents are sent by the customer with a cover letter of the established sample to the Public Service Center, then registration with the justice authorities of the Republic of Kazakhstan.

Source: 1) Law of the Republic of Kazakhstan dated July 16, 2001 No. 242 «On architectural, urban planning and construction activities in the Republic of Kazakhstan»;
2) Order of the Minister for Investment and Development of the Republic of Kazakhstan dated December 13, 2017 No. 867 «On approval of the Rules for the acceptance of the constructed facility into operation by the owner independently, as well as the form of the acceptance certificate».



CONTACTS

JSC «SEZ «Seaport Aktau»

The management of JSC «SEZ «Seaport Aktau»

E-mail: info@sez.kz
Tel: +7 (7292) 204-030 (ext.300)

Department of Operations and Corporate Development

E-mail: : info@sez.kz, opersezaktau@mail.ru
Tel: +7 (7292) 204-030 (ext.304, 314)

Department of Economic Planning and Accounting

E-mail: info@sez.kz, buhsezaktau@mail.ru
Tel: +7 (7292) 204-030 (ext.309, 316)

Department of investor relations and monitoring project

E-mail: info@sez.kz, dipinvest@bk.ru
Tel: +7 (7292) 204-030 (ext.305, 312)

Department of Asset Management and Legal Support

E-mail: info@sez.kz, zansezaktau@mail.ru
Tel: +7 (7292) 204-030 (ext.306)

Department of technical control and infrastructure development

E-mail: info@sez.kz, tehsezaktau@mail.ru
Tel: +7 (7292) 204-030 (ext.307, 313)

CONTACTS OF LOCAL EXECUTIVE, AUTHORIZED BODIES AND OTHER ORGANIZATIONS

Akimat of Mangystau region

Address: Aktau, 14 micro district, building 1
Tel: +7 (7292) 437-118

Akimat of Aktau city

Address: Aktau city, 4 micro district, building 72
Tel: +7 (7292) 336-500

Aktau City Department of «Land Relations, Architecture and Urban Planning»

Address: Aktau city, 4 micro district., house 72, the building of Akimat
Tel: +7 (7292) 504-709

Department of state revenue for the Mangystau region

Customs administration

Address: Aktau city, 31 «B» micro district, building 35
Tel: +7 (7292) 403-401

Customs post «Seaport»

Address: Aktau city, Umirzak settlement
Tel: +7 (7292) 301-247

Department of State Revenue «Aktau Seaport»

Address: Aktau city, 4 micro district, building 12.
Tel: +7 (7292) 301-211

Department of ecology of Mangystau region

Address: Aktau city, industrial zone 3, building 10
Tel: +7 (7292) 301-289

Chamber of entrepreneurs of Mangystau region

Address: Aktau city, 35 micro district, building of the business service center
Tel: +7 (7292) 304-040

Department of investment and tourism development of Mangystau region

Address: Aktau city, 14 micro district, building of the regional Akimat
Tel: +7 (7292) 314-435

Department of consumer protection of Mangystau region

Address: Aktau city, 9 micro district, house 23
Tel: +7 (7292) 431-434

RSE «Gosexpertiza» in Mangystau region

Address: Aktau city, 31 B micro district, building 27
Tel: +7 (7292) 305-125

Department of emergency situations of Mangystau region

Address: Aktau city, 24 micro district, building 6
Tel: +7 (7292) 701-706